Course : DORA Lead Manager, PECB certification

Practical course - 5d - 35h00 - Ref. DLM
Price : 3800 CHF E.T.

As of January 17, 2025, financial entities will have to comply with DORA requirements. This training course will give you the skills you need to support and supervise the implementation of digital operational resilience strategies for financial institutions.

Teaching objectives

At the end of the training, the participant will be able to:

- Understand the regulatory landscape and compliance requirements of the DORA regulation.
- Implement strategies to improve operational resilience in financial institutions.
- Identify, analyze, assess and manage ICT-related risks affecting financial entities.
- Develop robust risk management frameworks, response plans, continuity and recovery plans.
- Foster collaboration and communication with key stakeholders.
- Use tools and methodologies to monitor, assess and manage ICT risks and vulnerabilities.

Intended audience

Senior executives and decision-makers from financial institutions, IT professionals, consultants and advisors specializing in financial regulation and cybersecurity...

Prerequisites

Have a fundamental understanding of information security and cybersecurity concepts, as well as familiarity with ICT risk management principles.

Certification

L'examen disponible uniquement en anglais, se déroule en ligne et consiste à répondre à un QCM de 80 questions à livre ouvert pendant 3 heures maximum. L'examen a lieu en différé, en ligne. À l'issue du cours, une attestation de suivi de la formation de 31 crédits de FPC (Formation professionnelle continue) sera délivrée. Les candidats ayant suivi la formation mais échoué à l'examen peuvent le repasser gratuitement une seule fois dans un délai de 12 mois à compter de la date initiale de l'examen.

Remote certifications

See the certifier's official documentation for the list of prerequisites for completing the online certification exam.

Course schedule

1 **Introduction to DORA concepts and requirements**

- The context and challenges of the DORA regulation.
- Scope of application and stakeholders.
- DORA's fundamental principles and objectives.
- Detailed analysis of the 5 DORA pillars

2 **ICT risk and incident management**

- The ICT risk management framework: risk identification, analysis, assessment and treatment.
- ICT risk assessment methods: business impact analysis, failure scenarios, etc.
- Implementation of appropriate control measures and remediation plans.
- ICT incident management processes: detection, classification, investigation, response and recovery.
- Business continuity and disaster recovery plans for critical information systems.

3 **Third-party risk management and information sharing**

- Identification and assessment of risks associated with third-party service providers.
- Rigorous control and contractualization procedures.
- Ongoing monitoring of service providers and appropriate control measures.
- The importance of exchanging information and intelligence between financial players.
- Methods of communication and cooperation in the event of an incident or crisis.
- The role of supervisory authorities and financial market organizations.

4 **Reassessment and continuous improvement**

- The importance of re-evaluating and continuously improving the operational resilience management framework.
- Setting up monitoring processes and performance indicators.
- Internal and external audits to ensure DORA compliance.
- Best practices in operational resilience.
- Future regulatory developments and emerging issues.
- Expectations and challenges related to the implementation of DORA.

(5) Certification

- Areas of expertise covered by the exam :
- Area 1: Fundamental concepts of ICT risk management and digital operational resilience.
- Area 2: Preparation and planning for DORA project implementation.
- Area 3: Managing ICT-related risks and incidents.
- Area 4: Digital operational resilience testing and risk management for third-party ICT providers.
- Area 5: Continuous reassessment and improvement.

Dates and locations

REMOTE CLASS
2026 : 1 June, 19 Oct., 23 Nov.